

1 The Global Zonally Integrated Ocean Circulation (MOC),
2 1992-2006: Seasonal and Decadal Variability

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5 **Abstract**

6 The zonally integrated meridional and vertical velocities as well as the enthalpy flux in
7 a least-squares adjusted general circulation model is used to estimate the oceanic merid-
8 ional overturning (MOC) and its variability, 1992-2006. A variety of simple theories all
9 predict that the mid- and high-latitude oceans should respond to atmospheric driving only
10 on multidecadal time scales and, in practice, little change is seen in the MOC and associated
11 heat transport except right at the sea surface, at depth near the equator, and in parts of
12 the Southern Ocean. Variability in meridional transports in both volume and enthalpy is
13 dominated by the annual cycle and secondarily by the semi-annual cycle, particularly in the
14 Southern Ocean. Although the estimates show a net uptake of heat from the atmosphere
15 (forced by the NCEP-NCAR reanalysis which produces net ocean heating), no significant
16 trends are found in meridional transport properties over 15 years.

17 **1 Introduction**

18 The North Atlantic meridional overturning circulation (NA-MOC) has been the focus of intense
19 interest, in part because of widely publicized claims that it controls much of the climate system,
20 or is in imminent danger of “collapse” or both. A number of studies (e.g., Hurrell et al., 2006)
21 have discussed predicting the NA-MOC under the presumption that it is a dominant component
22 of ongoing climate change. Wunsch and Heimbach (2006) discussed the behavior of the MOC in
23 the Atlantic at 25°N between 1993 and 2004, concluding that there were weak trends at various
24 depths in the meridional volume transport, but that there was no evidence for a significant trend
25 in the heat (temperature) transport.

26 But the NA-MOC is part of the global ocean circulation and can only be understood in the
27 global context. Here we examine the planetary zonally integrated meridional ocean circulation
28 as computed from a combined oceanic GCM and the large data sets in the ECCO-GODAE
29 estimates (see Wunsch and Heimbach, 2007, for a complete description, and Wunsch and Heim-
30 bach, 2006, for its North Atlantic behavior; the acronyms represent Estimating the Circulation
31 and Climate of the Ocean, and Global Ocean Data Assimilation Experiment) and analyze its
32 mean and variability over the 14 year period 1992-2006. There are no eddies present in this
33 1° horizontal, 23-vertical layer representation and thus the variability is a lower-bound (e.g.,
34 Wunsch, 2008). The specific solution is denoted v3.22 and differs quantitatively in numerous
35 ways from the unoptimized control run (v3.0) which represented the starting estimate. A brief
36 description of the v3.22 ECCO-GODAE estimate is that is the result of a least-squares fit of a
37 general circulation model (GCM) to a global, weighted data set, 1992-2004. Comparisons (not
38 shown) of the equivalent results in the “control” solution obtained by forcing the MITgcm with
39 the unmodified NCEP-NCAR reanalysis in the configuration discussed by Heimbach (2008, in
40 preparation) lead to the inference that changes in the circulation required by the optimization
41 are quantitative rather than qualitative, the variability structure remaining largely the same.
42 An exception to this statement is that the mean Atlantic MOC is qualitatively shifted in magni-
43 tude. Comparisons (not shown) to an earlier near-optimized solution (v2.216) show very similar
44 results.

45 Discussion of almost any aspect of the global ocean circulation runs the risk of extending
46 to book-length. The shorthand “MOC” is convenient, but fundamentally, we are examining the
47 zonal projection of a three-dimensional flow field. Use of zonally integrated quantities in geo-
48 graphical coordinates, has the advantage of simplifying the results and making their display rea-
49 sonably straightforward. It has the disadvantage of precluding analysis of the three-dimensional
50 flow and transport fields producing the integrated results—no two-dimensional projection can
51 provide full information about a three-dimensional flow. Seeming paradoxes can arise from such
52 projections if they are interpreted as representing particle pathways. These disadvantages are
53 set aside for the time-being in an effort to produce a comprehensible, simple, description of the
54 ocean circulation variability—a description that has been widely invoked to discuss the present,
55 past and future climate states. Recalculation of the results in e.g., neutral density space, and
56 in the Southern Ocean, in stream-coordinates as the residual mean, would be illuminating, but
57 again these are not displayed here. In our present usage, “MOC” refers to the top-to-bottom
58 circulation; some other authors employ the term for the very-near-surface, highly volatile flow,
59 and which for this paper, is regarded as a separate subject.

60 Before analyzing the estimated results, it is useful to recall the venerable (Veronis and

61 Stommel, 1956) and well-supported theory (Gill, 1982; Pedlosky, 2003; Cessi et al., 2004) of
62 ocean response to disturbances. One expects the baroclinic oceanic response to perturbations
63 to be governed in large part by the zonal propagation of signals by baroclinic Rossby waves. At
64 mid-latitudes, the group velocity of such a wave requires on the order of a decade to propagate
65 a signal across a 5000km wide ocean, with the time growing substantially at higher latitudes
66 (see also, Sturges et al., 1998). The inability of the subtropical and higher latitude oceans to
67 respond baroclinically at annual periods is the basis of the Gill and Niiler (1973) depiction of
68 the seasonal variability as essentially local except at low latitudes, an inference that has stood
69 the test of time. (Barotropic adjustment is much faster.)

70 Fig. 1 displays the time required for the baroclinic Rossby wave with the fastest group
71 velocity to cross a 5000km wide ocean as a function of latitude. The fastest wave is, in the basic
72 theory, the one with the basin-scale wavelength. The multi-decadal time scale in the modeling
73 results of Cessi, et al. (2004) are fully consistent with expectation. These times (and basin
74 widths do change with latitude) are not the adjustment time—they are the shortest time over
75 which baroclinic adjustment can be expected to *start*.¹ Such time scales are the result of linear
76 perturbation theory and would not necessarily be applicable in a situation where the ocean was
77 subject to a major finite amplitude disturbance. A question raised below is whether there is
78 any evidence the ocean is, in modern times, being subjected to sufficiently large disturbances
79 that the simple theory is rendered invalid. Anticipating the conclusions, results are consistent
80 with the rough temporal scaling argument embodied in Fig. 1 and the inference that over the
81 last 15 years, disturbances lie well within the small perturbation range. The Southern Ocean is
82 a partial exception to the conclusions about time scales.

83 Convective injection of surface waters into the abyss at high latitudes might be thought to
84 short-circuit the baroclinic wave adjustment time. Consider, however, that convective regions
85 are by nature extremely small, and while communication between surface and abyss is locally
86 fast, the ability to adjust large fractions of the abyssal ocean will again depend upon the wave-
87 signal velocities, or even slower advective ones carrying information away from the convective
88 area. By way of example, consider that the North Atlantic volume between 50°N and 80°N
89 is about 1.5×10^{16} m³. If the change in convective injection of surface water were as large as
90 10Sv (an extremely large value), and if the entire convected volume were restricted to that
91 region (physically impossible), then the time to replace the water mass would be about 50 years.
92 Large integrated variability in the deep oceans on a decadal time scale are not expected—with
93 implications both for predictability and near-term detectability.

¹Baroclinic Kelvin waves—coastal and equatorial—are much faster, but influence the ocean interior only indirectly through their coupling to Rossby waves when reflecting and shifting latitude.

94 Oceanic potential energy is

$$PE = \iint \int_{-h(\lambda,\phi)}^{\eta(\lambda,\phi)} z g \rho(\lambda, \phi, z) dz dA$$

95 with g gravity, ρ density, ϕ longitude, z the vertical coordinate, dA the area differential, h the
 96 depth and η the sea surface elevation. In a linear approximation, $\rho = \rho_0 (1 - \alpha T + \beta S)$, with
 97 T being temperature, S salinity and $\rho_0 \approx 1029 \text{kg/m}^3$. In the modern ocean (e.g. Oort et al.,
 98 1989), $PE \approx 10^{26} \text{J}$ and any major disturbance to the circulation would modify this reservoir.
 99 Estimates of energy transfers to the ocean circulation from the atmosphere are today of order
 100 1TW (10^{12}W). Keeping everything else fixed, suppose, to derive a time-scale, the ocean below
 101 1000m undergoes a temperature change (either sign) of 1°C . Then,

$$\Delta PE \approx g \rho_0 \iint \int_{-h(\lambda,\phi)}^{-1000\text{m}} (-\alpha \Delta T) z dz dA \approx 10^{22} \text{J}$$

102 (using $\alpha \approx 1.7 \times 10^{-4} / ^\circ \text{C}$ and $h \approx -4000 \text{m}$).

103 Cooling of the abyss lowers the center of mass implies a reduction in PE, and which could
 104 be released as kinetic energy or transferred through the sea surface; correspondingly a warming
 105 represents an increase in PE, which could derive from mixing forced by oceanic kinetic energy or,
 106 again by transfer across the sea surface. If the modern rate of energy input of order 1TW were to
 107 be disturbed by 100%, then it would take about 300 years to bring about an energy shift of this
 108 magnitude. (Estimated modern conversion rates between PE and KE are less than 1TW; see
 109 Ferrari and Wunsch, 2009.) Qualitative shifts in the circulation potential energy would require
 110 *multi-decadal* periods unless the energy transfer rates both within the ocean and to and from
 111 the atmosphere, were greatly modified from present-day value—implying a significant shift in
 112 the way existing air-sea coupling occurs. Equivalent calculations can be made for salinity (fresh
 113 water input) changes, and we are ignoring corresponding changes in internal and kinetic energy.

114 Conventionally, the MOC is displayed as a stream function in latitude, ϕ , and vertical, z ,
 115 coordinates (see e.g., Talley, 2003; Lumpkin and Speer, 2007), but that representation seems
 116 no more visually informative than one in the two components, v, w , of the Eulerian velocities.
 117 For each ocean basin, including the Southern, the meridional velocity, v , is integrated zonally to
 118 produce e.g., $V_i(\phi, z, t)$, where i is used to denote the results in each of the four basins, Atlantic,
 119 Pacific, Indian, and Southern and time means $\bar{V}_i(\phi, z)$, $\bar{W}_i(\phi, z)$ are formed. Another advantage
 120 of using v, w is that the Indian and Pacific Oceans can be depicted independently—use of a
 121 stream function requiring the summation of those basins. The model is defined at 1° intervals
 122 of latitude and longitude, between 79.5° north and south, and in 23 levels,² so that $\phi = \phi_j$,

²Layer interfaces are at: 0, 10, 20, 35, 55, 75, 100, 135, 185, 260, 360, 510, 710, 985, 1335, 1750, 2200, 2700, 3200, 3700, 4200, 4700, 5200, 5700 meters.

123 $z = z_k$ corresponding to integer values j, k (k defining the center of the layers). For context,
124 we begin with a brief description of the time means, turning later to the variability. Ocean
125 dynamics depend most directly on the mass (volume) flux, whereas the coupled atmosphere
126 responds most immediately to the enthalpy (heat) transport and, particularly, the related sea
127 surface temperature. Oceanic fresh water transport is also important, but in the interests of
128 restricting the length of this discussion, we here omit any discussion of fresh water and salinity.

129 **2 Mean Global Volume and Enthalpy (Heat) Transports**

130 **2.1 Volume Transport**

131 Fig. 2 displays $\bar{V}_i(\phi, z)$, over the entire 15 years, for Atlantic, Pacific, Indian (all north of 38°S)
132 as well as for the Southern Ocean. In gross terms, one sees an Atlantic with a conventional
133 MOC, having a northward flow in the upper approximately 1000m, a southward flow between
134 about 1000 and 4000m and generally northward flow below that. The color shifts across fixed
135 depths imply vertical divergences necessary to conserve volume or mass. Ganachaud (2003)
136 provided independent estimates of the zonal integrals at a few latitudes.

137 The Pacific is also as expected, with a surface outcropping of the southward flow in the
138 northern hemisphere consistent with intermediate water formation, and penetration of water
139 from the circumpolar area near surface and bottom, sandwiching a southward return flow at
140 intermediate depths. The less familiar Indian Ocean is similar on average to the South Pacific.
141 Dynamically, and also unsurprisingly, the Southern Ocean is quite different from the others, with
142 intense meridional flow appearing only below the sill depths, and being dominated by inflow
143 from the north, with intense northward flow being confined to great depths at low latitudes. An
144 intricate cellular structure appears at depth on the equator in the Atlantic and Pacific Ocean,
145 particularly in the latter. Results from the control run, v3.0, are grossly similar, but differ in
146 many details, and are not displayed here.

147 Fig. 2 is a bit misleading in that the near-surface (and near-bottom) flows are quite intricate,
148 as can be seen in Fig. 3 which is identical to Fig. 2 except showing an expanded upper 300m.
149 The Pacific result has a strong qualitative resemblance between 8°S and 10°N to Fig. 5a of
150 Johnson et al. (2001) who used shipboard ADCP data, not employed here, in displaying the
151 near-surface divergence expected from Ekman layers, overlying a reversed sense flow below that
152 to about 300m, with southward flow to about 100m on the equator itself. The Atlantic shows a
153 similar, but weaker, structure. A more detailed discussion of the near-equatorial physics would,
154 however, take us too far afield from a global account. The near-surface Southern Ocean displays
155 a divergence about, very roughly, the mean latitude of the Antarctic Circumpolar Current with

156 a strong equatorward Eulerian mean to the north of the axis—again as one would anticipate
157 from Ekman theory. (The eddy volume flux must be accounted for there, should one seek to
158 discuss particle motions.)

159 Much attention has gone toward determining the poleward volume flux, and Fig. 4 dis-
160 plays the maximum definable value (whether poleward or equatorward), obtained by integrating
161 downward from the surface, in each ocean basin. Atlantic values near 15Sv are conventional. The
162 control differs qualitatively, e.g. in the Atlantic, where the maximum meridional overturning
163 increases with latitude from little more than 12Sv to more than 23 by about 50°N. For this
164 component, the optimization has made an important change.

165 The vertical velocities associated with the divergences of the meridional flow in Fig. 2 are
166 shown in Fig. 5. The patterns are not, globally, simple, but a number of familiar features do
167 emerge, including the comparatively strong near-surface equatorial upwelling, a strong Deacon
168 Cell in the Southern Ocean and a strong downwelling in the high latitude convective region of
169 the North Atlantic. (See Scott and Marotzke, 2002, for a discussion of vertical velocities and
170 convective mixing in idealized models.)

171 The flow pathways dictated by these time means are not our present focus. Nonetheless,
172 some understanding of the basic time average pathways can be obtained from the long-term
173 tracer experiments of Wunsch and Heimbach (2008), and/or the schematic of Lumpkin and
174 Speer (2007), which appears qualitatively plausible.

175 **2.2 Enthalpy Transport**

176 The temperature transport primarily reflects the underlying volume transports. Fig. 6 displays
177 the time-mean temperature transport (Indian and Pacific values need to be added for mass
178 conservation if one is to discuss the heat transport there). As expected (see e.g., Boccaletti
179 et al., 2005) the temperature weighting of the volume transport produces a strong near-surface
180 amplification of the enthalpy transport, whose structure is readily inferred from Fig. 2 and 3. Its
181 physics are dependent, however, upon the much deeper volume transports (that is, a disruption
182 of the deeper meridional volume flux would change the near-surface temperature transports).
183 Upper tropical layers are strongly divergent, as implied by Fig. 3.

184 **3 Global Variability**

185 Fig. 7 depicts the variance of $V(\phi, z, t)$ over 15 years in the solution (the standard deviation of
186 the variability is 0.83Sv). Most of the variance at depth is, as expected, at low latitudes, with
187 the exception of the deep Southern Ocean in the region of topographic structures. As will be

188 seen below, the deep Southern Ocean change is dominated by a semi-annual component. See
189 Webb and de Cuevas (2007) and Olbers and Lettmann (2007) for discussion of Southern Ocean
190 variability.

191 **3.1 Volume Transport Components**

192 The analysis procedure is a standard one for empirical orthogonal functions (EOFs, e.g., Jolliffe,
193 2002; von Storch and Zwiers, 1999) although we prefer a slightly unconventional description
194 using the singular value decomposition (Appendix 1). For each basin, a monthly anomaly of
195 meridional transport is computed as $V_i'(\phi_j, z_k, t_p) = V_i(\phi_j, z_k, t_p) - \bar{V}_i(\phi_j, z_k)$ and the spatial
196 EOFs, here called \mathbf{u}_i computed with temporal coefficients, $\mathbf{v}_i(t)$, and singular value λ_i . As is
197 always the case with EOFs, a choice about weighting has to be made; the fields could be given
198 uniform variance, or normalized to represent zonal averages rather than integrals. Here the raw
199 integrals represent the variables with the most immediate impact on the climate system: The
200 resulting heavy weighting of the Pacific and Southern Oceans represents their enormous mass of
201 fluid, and which at zero order, will control the air-sea transfer processes. Other weightings will
202 produce results that differ, and their analysis likely would be enlightening.

203 The issue of trends and drifts is one of the more difficult ones in using GCMs, and we
204 postpone that discussion. For the moment, note only that some, but by no means all, of the
205 $\mathbf{v}_i(t)$ display starting transients ranging from a few months to 2+ years; the effect is particularly
206 pronounced in the Atlantic basin and in particular, for the spectral results shown below, the
207 first two years of the estimates were dropped in the Fourier analysis used to calculate power
208 density estimates.

209 Figs. 8, 9 display the first two singular vectors (EOFs) of the volume flux containing 43%
210 of the total volume variability $(0.83 \text{ Sv})^2$ and 8% respectively of the total. Many more modes
211 carry a slowly decreasing fraction of the variance. As with all EOFs here, they are computed
212 globally—reflecting the global covariances, but are displayed by ocean basin for interpretation.

213 Three additional transport EOFs can be seen in Appendix 2, all having a few percentage
214 of the transport variability variance. Two of them have a largely tropical and Southern Ocean
215 *semi-annual* variability and the third shows a dominantly low frequency structure.

216 Contrary to what one might have anticipated from much of the literature, almost all of
217 the MOC variability is annual and secondarily, semi-annual, and, from the annual cycle to the
218 decade+ time scale, it is dominated by the Pacific Ocean with its immense expanse of low
219 latitude, rapidly-responsive, volume; the Indian Ocean is a close second in importance. That
220 much greater volatility appears at low latitudes is consistent with the theory sketched above.
221 Although the ECCO-GODAE estimates are known to have high latitude convection that tends

222 to penetrate too deeply owing to a failure to restratify rapidly enough, there is nonetheless only
223 slight evidence for any annual variability at high latitudes from that effect. Many discussions
224 exist of near-surface annual variability. Rabe et al. (2008), for example, discuss the near-surface
225 annual cycle in the tropical Atlantic from a 50-year ECCO calculation, albeit data prior to
226 1993 are extremely thin. Keenlyside and Kleeman (2002) summarize some of the theoretical
227 understanding of the top about 200m. The global decadal scale variability is not simple except
228 insofar as it is dominated by low-latitude processes. Little or no high latitude variability is
229 evident.

230 One, $\mathbf{v}_5(t)$, has a structure corresponding to a trend (see the Appendix 2). Other vec-
231 tors have low frequency structures indistinguishable from red-noise processes observed over an
232 interval too short to delineate the actual spectral form.

233 **3.2 Temperature Variability**

234 The time-mean temperature (and salinity) fields are visually conventional and so are not dis-
235 played. Figures 10-11 show the first two EOFs of the zonally integrated temperature fields. The
236 first EOF is the major exception to the absence of simple global trends, showing a nearly linear
237 trend in temperature over the entire calculated history. It corresponds to a general warming of
238 the Atlantic, Pacific and Indian Ocean thermoclines with a corresponding cooling in the deeper
239 levels of the Southern Ocean. We will discuss it below. Two more, predominantly semi-annual
240 dominated, EOFs can be seen in Appendix 2.

241 **3.3 Enthalpy Transports**

242 Interpretation of the enthalpy (heat) transports requires a context for the magnitudes of the time-
243 mean transports. Fig. 12 shows an estimate of the oceanic meridional heat transport (Wunsch,
244 2005) computed independently of the ECCO-GODAE estimates (primarily from Ganachaud and
245 Wunsch, 2002). For comparison, the ECCO-GODAE result is shown in Fig. 13. Within error
246 estimates, the global total is indistinguishable from that in Fig. 12, although it is closer to
247 anti-symmetry about the equator. Because of the strong eddy transport in the Southern Ocean,
248 the value shown there differs qualitatively from those obtained from an eddy-permitting model
249 (M. Mazloff, private communication, 2008) or in the residual mean computed from the present
250 model. In Mazloff's results, the eddy contribution (not shown here), is such as to remove much
251 of the structure seen in Fig. 13, leading to a nearly linear increase in the total southward heat
252 transport from south to north reaching about -0.4PW at the northern limit.

253 About 20 EOFs are required to reproduce 95% of the squared norm of the variability. As with

254 the volume flux, dominant variability is at the annual and semi-annual periods and dominated
255 by the tropical Indian and Pacific Oceans (Figs. 14, 15).

256 The third and fourth, semi-annual dominated EOFs are shown in Appendix 2. As noted for
257 the North Atlantic by Wunsch and Heimbach (2007), the enthalpy transports display weaker
258 apparent trends than do the volume fluxes, and to the degree that climate depends primarily on
259 the former, there is no evidence after 15 years for any major shift occurring in the global ocean
260 circulation with climate consequences. That the enthalpy flux is not dominated by the trend
261 seen in the first temperature EOF is consistent with the North Atlantic inference of Wunsch
262 and Heimbach (2006), that the system is dominated by the velocity variability, not that in
263 temperature, which is lost in the noise level.

264 4 Ice Cover

265 Sea ice is an important component of the model at high latitudes, where observed ice-cover is
266 part of the misfit function. Its temporal variability (not shown), is dominated by the Southern
267 Ocean annual cycle with 92% of its temporal variance, and by the semi-annual (5% of the
268 variance). Higher EOFs are nearly white noise and none of them suggests a sea ice-cover trend.
269 Note, however, that this system version does not include the Arctic.

270 5 The Forcing

271 In the interests of comparative brevity, we discuss only the zonal wind component—much the
272 stronger of the two. Time means of the meteorological forcing fields are not displayed here,
273 as they are visually unsurprising—with e.g., bands of easterlies and westerlies in the wind.
274 Variability within the ocean can be the result of direct forcing structures, but also arising from
275 internal instabilities and free modes. Some insight can be gained by looking at the low EOFs of
276 the forcing variables, with particular interest in any observed trends.

277 The first two EOFs of τ_x variability are shown in Figs. 16, 17. The first mode is essentially
278 the annual variability and the second is that of a broad-band variability over the ACC (Drake
279 Passage latitude) with a separate semi-annual peak. A strong semi-annual peak in Southern
280 Ocean winds is well-known (e.g., Trenberth et al., 1989, or Meehl, 1991), as is another weak
281 maximum in the North Pacific. The strong Southern Ocean variability in the second EOF is
282 sufficiently narrow in latitude that estimates (e.g., Cunningham and Pavic, 2007) based upon
283 atmospheric pressure differences between 45°S and 65°S would tend to miss the activity. A
284 general discussion of atmospheric wind structures can be found in Thompson and Wallace, 2000

285 and Thompson et al., 2000).

286 The associated atmospheric heat fluxes to and from the ocean are shown in Figs. 18, 19.
287 These are dominantly annual and semi-annual in nature, with the latter again being particularly
288 conspicuous in the Southern Ocean, here straddling the ACC. None of the first 10 EOFs shows a
289 visual trend, and evidently the atmospheric heating of the ocean is, unsurprisingly, a very small
290 disturbance superimposed upon a very energetic system.

291 Huang et al. (2006) showed an apparent increase of 12% in the rate of working of the wind
292 (as depicted by the NCEP-NCAR reanalysis) over the 25 years beginning in 1980. To the extent
293 that there exist trends in the zonal wind stress in the shorter period used here, they are a
294 complex sum of spatially complicated structures in the higher EOFs (not shown). None of the
295 first 10 zonal wind EOFs show a simple visual trend.

296 A fundamental question is whether the meteorological disturbances applied to the ocean are
297 sufficient to drive its response out of the range of the simple perturbation theory ideas invoked
298 above? As we have seen, there is no particular evidence of long-term, large-scale trends that
299 might be shifting the mean state, although surely low frequency variability on time scales longer
300 than 15 years must be present. As a crude measure of the degree of disturbance, note that the
301 space/time variance of the zonal stress is about 12% of the spatial variance of the mean field
302 and includes the very strong annual cycle. There is no reason to believe that large-scale finite
303 amplitude responses are present now.

304 The first two EOFs of the enthalpy transfer to the atmosphere have the same temporal
305 structure as the wind field, but almost all of the variance is in the annual cycle with less than
306 1.5% in the semi-annual, which is again peaked over the Southern Ocean.

307 **6 The Trends**

308 Both the control and the present best-estimate, v3.22, have a temperature EOF corresponding
309 to a large-scale uptake of heat near-surface almost everywhere at a rate of about $3.4\text{W}/\text{m}^2$.
310 This heating arises from the atmospheric state in the NCEP-NCAR reanalysis (Kalnay et al.,
311 1996) and which is employed here using bulk formulas. The value may well be reduced with
312 further optimization iterations, if it is incompatible with the in situ oceanic data. Thus far,
313 however, there is no evidence that the oceanic data, within error bars, are in conflict with such
314 a heat uptake—implying that the data remain too sparse and noisy to force a reduction in the
315 atmospheric heat flux. Despite the presence of this uptake of heat, whether justified or not, it
316 has little or no effect on the meridional transports of volume (mass) or heat, being lost in the
317 overall noisiness of the system. The wind field has already been discussed.

318 Bindoff et al. (2007) describe evidence for trends, over several decades, in oceanic ventila-
319 tion rates, through observations of such fields as the oxygen distribution. In the present 15-year
320 interval, the system is sufficiently noisy that whatever changes are taking place cannot be dis-
321 tinguished against the large interannual variability. Although it is possible that trends become
322 apparent through the much longer multi-decadal span considered in the ventilation discussion,
323 the extremely thin and poorly distributed in situ observations before the 1990s render unattain-
324 able the useful estimation of any global average (see the sampling discussion in Wunsch et al.,
325 2007).

326 **7 Discussion**

327 Global solutions such as the ones used here describe a very large range of phenomena calling
328 out for details and explanation (keeping in mind the distortions implied by two-dimensional
329 projections, and the need to avoid interpreting the resulting Eulerian mean velocities as particle
330 trajectories). We have only touched on some of the more conspicuous fluctuations seen primarily
331 in the tropics and the perhaps more surprising variability in the deep Southern Ocean, but
332 without pursuing the details of either. The great bulk of the variability variance in the global
333 MOC and its corresponding enthalpy transports over the 15-year interval, lies in the annual
334 cycle, and which at lowest order is consistent with the simplest expectations of linear Rossby
335 wave dynamics (cf. Gill and Niiler, 1973). This same theory strongly supports the inference
336 that, absent a finite amplitude ocean disturbance, multiple decades are required to detect deep
337 ocean changes.

338 No evidence has been found that the ocean has been or is undergoing any change sufficient to
339 require moving beyond perturbation theory. The changes seen in the ECCO-GODAE estimate
340 are small disturbances to the fully-established general circulation although the annual cycle
341 forcing is always very large. Linear theory (Veronis and Stommel, 1955; Pedlosky, 2003; see Gill,
342 1984 for a full account) shows that in the perturbation regime, large decadal changes in baroclinic
343 structures are not expected outside of the tropics. No sign exists of any significant trends or
344 unusual behavior in the MOC over the last 15 years. What the future will bring is another
345 question, but the implications of perturbation theory, the very large eddy noise present in the
346 real system, and the enormous potential energy of the existing stratification all militate against
347 the expectation of seeing major shifts on time scales shorter than many decades. Suggestions
348 that the ocean circulation is or could be changing into radically different states need to address
349 the energy sources required to make a qualitative change in the potential energy reservoirs.

350 What of the future? The dominant variability as seen here is (1) annual and semi-annual, (2)

351 a few weak trends with complex spatial patterns, and (3) a long memory in the sub-surface ocean.
352 Anomalies propagating at depth in northern latitudes will require some decades to adjust the
353 system and their presence is likely to produce some degree of local high latitude predictability.³
354 The general linear theory of the prediction of stationary time series (Yaglom, 1962) shows that
355 more spectral structure produces longer prediction horizons. Thus a narrow-band annual cycle
356 can be predicted many years into the future, as can a general red noise process. The stability
357 of the annual cycle components, apart from the reaction of some singular vectors to the large
358 ENSO of 1997-1998, remains in keeping with the notion that only subtle changes have taken
359 place in the ocean circulation since 1992.

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363 Geophysical Fluid Dynamics Laboratory (NOAA) and elsewhere. Comments by M. Mazloff,
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³Hawkins and Sutton (2007) discuss the *much longer* time-scale variability of the global MOC within a coupled atmosphere-ocean model, but one unconstrained by any observations.

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435

436 **8 Appendix 1. EOFs and the Singular Value Decomposition**

437 At fixed time $t = t_p$, V' is a matrix with rows defining depths, and columns the latitude. For
 438 each such time, make a column vector of the matrix,

$$\mathbf{a}_p(t_p) = \text{vec}(V'(\phi_j, z_k, t_p)),$$

439 and a new matrix \mathbf{A} is defined by these columns:

$$\mathbf{A} = \{\mathbf{a}_p\}.$$

440 By the Eckart-Young-Mirsky Theorem (e.g. Björck, 1996, P.12)

$$\mathbf{A} \approx \sum_{j=1}^K \lambda_j \mathbf{u}_j \mathbf{v}_j^T \tag{1} \text{ {svd1}}$$

441 gives the most efficient possible representation of \mathbf{A} for any set of K orthonormal column vec-
 442 tors \mathbf{u}_j , \mathbf{v}_j if they are chosen as the singular vectors, and λ_j are the singular values. (The
 443 \mathbf{u}_j are commonly called the empirical orthogonal functions, EOFs, a terminology we will use
 444 interchangeably, but the singular value decomposition form is more physically immediate.)
 445 The singular vectors \mathbf{v}_j should not be confused with the meridional velocity component v .
 446 If $K = \min(M, N, \text{rank}(\mathbf{A}))$ then Eq. (1) becomes an equality.

447 As always, the hope is that the required $K = K_{eff}$, the “effective” rank, is small. A simple
 448 measure of effectiveness is that $\sum_{j=1}^{K_{eff}} \lambda_j^2 / \sum_{j=1}^K \lambda_j^2$ represents the fraction of the variance of
 449 components of \mathbf{A} described by Eq. (1) measured as the square of the matrix Frobenius norm of
 450 the difference of \mathbf{A} from its singular value decomposition truncated at $j = K$. As has been widely
 451 recognized, in part because of the space/time orthogonality requirement, the singular vectors
 452 need not have a simple physical interpretation (although they may), but are best regarded as
 453 an empirical, maximally efficient, description of covariability in the fields.

454 The references (e.g., Jolliffe, 2002; von Storch and Zwiers, 1999) discuss the statistical reli-
 455 ability of these calculations. It is well known that the singular values are more robustly determined
 456 than are the corresponding singular vectors. Jolliffe (2002, P. 42+ provides approximate confi-
 457 dence intervals for both, and von Storch and Zwiers (1999, P. 303) discuss a useful simplification.
 458 In the present case, the λ_j have negligible uncertainty, but the EOF (singular vector) structures
 459 are unstable when the singular values are close to others. Thus the discussion here is generally
 460 limited to the low, terms corresponding to widely separated λ_i .

461 **9 Appendix 2. Higher Order EOFs**

462 As discussed in Appendix 1, singular vectors corresponding to clustered λ_i will be unstable in
463 their spatial structure. For that reason, only the lowest and most robust ones are displayed in
464 the text. But because there is important information, particularly in the temporal variations,
465 about their physics, we here display a few of the higher order EOFs of volume and temperature
466 transport, as well as for temperature itself (Figs.20-25).

467

Figure Captions

469 1. Time for a disturbance traveling with the group velocity of a Rossby wave of wavelength
 470 $L = 5000\text{km}$ to cross a basin of width L (shorter waves can take much longer). A fixed Rossby
 471 radius of 30km was used, and only β permitted to change with latitude. (See Veronis and
 472 Stommel, 1956, although here a continuously layered ocean was used.) At high latitudes, decades
 473 are required to begin the adjustment process even accounting for basin narrowing and the large
 474 changes in deformation radius.

475 2. Mean (1992-2006) of the meridional volume flux in Sverdrups ($\text{Sv} \cdot 10^6 \text{m}^3/\text{s}$) from ECCO-
 476 GODAE v3.22. Note the complex equatorial structure in the Atlantic and Pacific. Contour
 477 interval is 1Sv . In the Southern Ocean, interpretation of zonally integrated Eulerian means re-
 478 quires particular care owing to the complex topography and relatively important eddy transport
 479 field.

480 3. Same as Fig. 2 except showing the upper 300m . Notice in particular the complex structure
 481 at and near the equator in all oceans.

482 4. Maximum meridional volume transport values (Sv), irrespective of sign for the time-mean
 483 in each basin (solid curve, left axis) and the depth (dashed curve, right axis) to which one must
 484 integrate to achieve the maximum.

485 5. $\bar{W}(\phi, z, t)$, the zonally summed time-mean vertical velocity, w , in 0.01 Sverdrups at
 486 intervals of $2.5 \times 10^{-3} \text{Sv}$. Patterns are complex and difficult to summarize. In the North Atlantic,
 487 the strong downwelling near 65°N is close to but not the same as the region of convection (see
 488 Scott and Marotzke, 2002). A conspicuous Deacon Cell appears in the Southern Ocean, but the
 489 reader is reminded of the caveat not to interpret two dimensional time-average projections of
 490 Eulerian mean velocities as corresponding to particle velocities.

491 6. Upper 1000m of the time average temperature transport.

492 7. Temporal variance (from monthly means) of $V(\phi, z, t)$ in the v3.22 solution. Contour
 493 interval is 3Sv^2 . As the simple theory in Fig. 1 implies, the system is dominated by fluctuations
 494 at low latitudes over decadal time scales, with little relative variability expected or seen at
 495 high latitudes. Southern Ocean excess variance at depth is likely associated with the special
 496 dynamics of the topographic interactions there at those depths driven by a forceful barotropic
 497 field. Total variance is $(0.83\text{Sv})^2$ with the great mass of the Pacific Ocean dominating.

498 8. First global volume transport variability EOF (singular vector), \mathbf{u}_1 , with about 43%
499 of the total variance displayed in each ocean basin (a-d). This mode evidently represents the
500 predominant and strong annual cycle in volume transport, and like most of the variability seen is
501 largely tropical and dominated by the Pacific and Indian Oceans. Little North Atlantic response
502 is visible (only contours with magnitude greater than or equal to 0.01 are shown). Consistent
503 with linear theory, the Pacific response has a somewhat barotropic nature below the very surface
504 layers. Panel (e) displays $\mathbf{v}_1(t)$ and its power spectral density estimate, with the first two years
505 omitted from the analysis here and in the other plots. A hint of an ENSO response is visible
506 (vertical dashed line in the plot of \mathbf{v}_1) is the 1997-1998 transition time. Vertical dashed lines on
507 the spectral density of $\mathbf{v}_1(t)$ (f) denote the annual and semiannual periods.

508 9. Second EOF with about 8% of the temporal variance, is also dominantly annual in
509 character but with a visible ENSO disturbance in the $\mathbf{v}_2(t)$ plot. Both the amplitude and phase
510 recover quickly.

511 10. First heat content (temperature) EOF with 58% of the temporal variance. A general
512 warming above 1000m is seen except in the poleward latitudes of the Southern Ocean, and in
513 most deeper parts of all basins.

514 11. Second temperature EOF with about 19% of the variance and which is a surface annual
515 cycle showing a 180° phase change between the hemispheres. Note that only the top 300m are
516 displayed as the amplitudes are very small below that—consistent with the general expectation
517 of the penetration of the annual thermal signal.

518 12. Estimate, with one standard deviation error bars, of the ocean (dashed) and atmospheric
519 (dash-dot) meridional enthalpy fluxes (adapted from Wunsch, 2005, primarily from results of
520 Ganachaud and Wunsch, 2002). The major inference is that poleward of about 50° in both
521 hemispheres, the mean oceanic component is very small, and hence little variability in its values
522 would be expected or is seen. Although the hydrographic sections used to make the estimates are
523 also part of the ECCO-GODAE data sets, the model used by Ganachaud and Wunsch (2002) is
524 a very different one from the GCM. Atmospheric values were computed as a residual of the ocean
525 circulation transports subtracted from earth radiation budget values. That the changing MOC
526 at high latitudes is a major cause of climate change, other than regionally, is very implausible
527 given the minute contribution the ocean makes to the meridional heat transport there.

528 13. Total heat transport in each basin and the global total from ECCO-GODAE v3.22. The
529 total (lowest panel) does not show as great an anti-symmetry as seen in the ocean estimate in

530 Fig. 12, but the estimates are consistent within the error bars of that figure alone, without
531 consideration of the uncertainty of the model itself.

532 14. First EOF (singular vector \mathbf{u}_1) of the meridional enthalpy (heat) transport. Because
533 of the strong surface confinement, only the top 300m are shown. The first EOF corresponds
534 to about 60% of the heat transport variance and is an essentially annual mode confined to the
535 tropics.

536 15. Second EOF of the meridional heat transport fluctuations, with about 9% of the variance.
537 The major features remain the annual cycle and the tropical confinement, but with a visible
538 ENSO signal now present.

539 16. First EOF, with about 39% of the variability, in τ_x . It is essentially the annual variability
540 and dominated by the low latitude Southern Ocean, with major contributions in the tropics (with
541 the exception of the Atlantic). The Pacific and Indian Oceans have a remarkable near-perfect
542 anti-symmetry about the equator (vanishing there).

543 17. Second τ_x EOF with about 26% of the variance. This mode is broadband, with an excess
544 of semi-annual variability and is dominated by Southern Ocean winds at the AAC latitudes.
545 (Note change of scale in the Southern Ocean.)

546 18. First EOF of the enthalpy (heat) flux from the atmosphere. This mode contains a
547 remarkable 96% of the total variability variance and is nearly anti-symmetric about the equator.

548 19. Second heat flux from the atmosphere EOF, but with less than about 1.5% of the
549 variance.

550 20. Third meridional volume transport EOF with about 7% of the variance is still tropically
551 dominated, but exhibits an early trend disappearing later in the calculation.

552 21. Fourth meridional transport EOF, with about 4.5% of the variance, now dominantly
553 semi-annual in character and again primarily tropical but with a visible signature in the deep
554 Southern Ocean.

555 22. Fifth volume transport variability EOF with 4% of the variance. The common spatial
556 structure of the trend and the 6-month peak variance might be coincidence.

557 23. Third temperature variability EOF with about 6% of the variance. Note the differing
558 depth ranges.

559 24. Third EOF of the enthalpy transport, with about 6% of the variance and a dominantly 6
560 month time-scale. An ENSO signal is again present.

561 25. Fourth enthalpy transport EOF with about 4% of the variability variance and again a
562 dominantly 6 month time scale. {1}

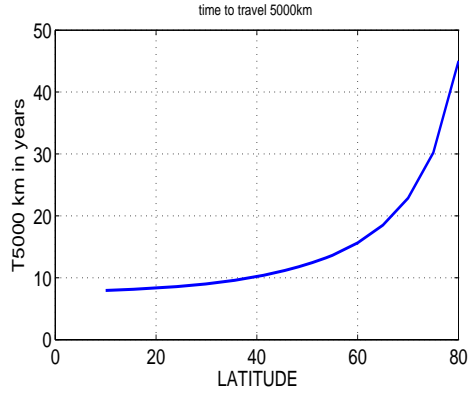


Figure 1: Time for a disturbance traveling with the group velocity of a Rossby wave of wavelength $L = 5000\text{km}$ to cross a basin of width L (shorter waves can take much longer). A fixed Rossby radius of 30km was used, and only β permitted to change with latitude. (See Veronis and Stommel, 1956, although here a continuously layered ocean was used.) At high latitudes, decades are required to begin the adjustment process even accounting for basin narrowing and the large changes in deformation radius.

{rossbytimes.e

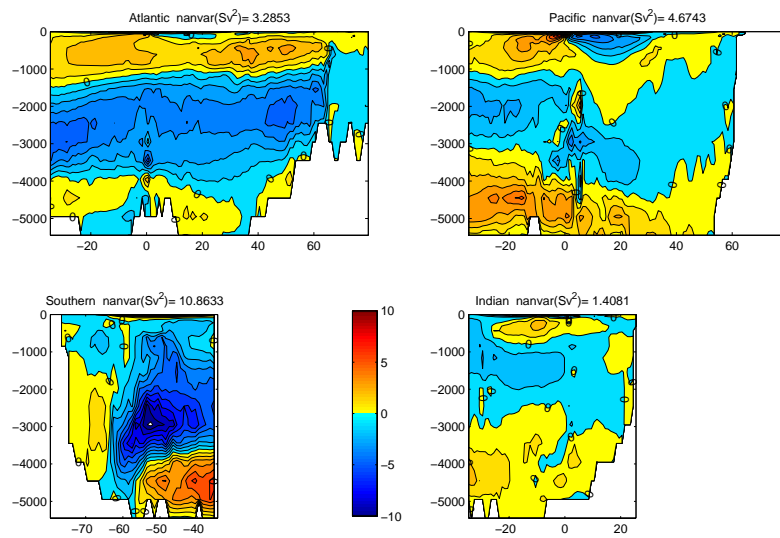


Figure 2: Mean (1992-2006) of the meridional volume flux in Sverdrups ($\text{Sv} \cdot 10^6 \text{m}^3/\text{s}$) from ECCO-GODAE v3.22. Note the complex equatorial structure in the Atlantic and Pacific. Contour interval is 1Sv. In the Southern Ocean, interpretation of zonally integrated Eulerian means requires particular care owing to the complex topography and relatively important eddy transport field.

{mean_merid.ep

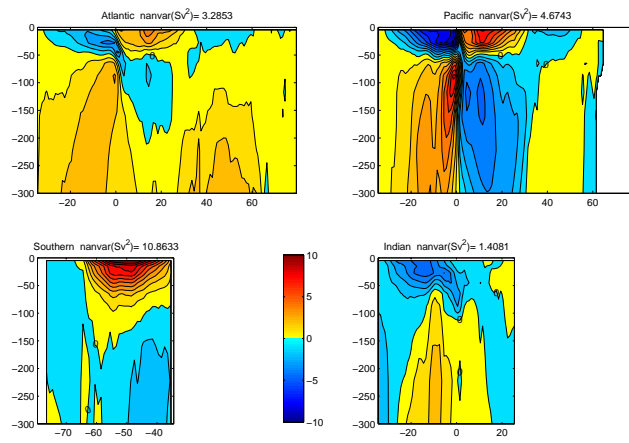


Figure 3: Same as Fig. 2 except showing the upper 300m. Notice in particular the complex structure at and near the equator in all oceans.

{mean_merid_up

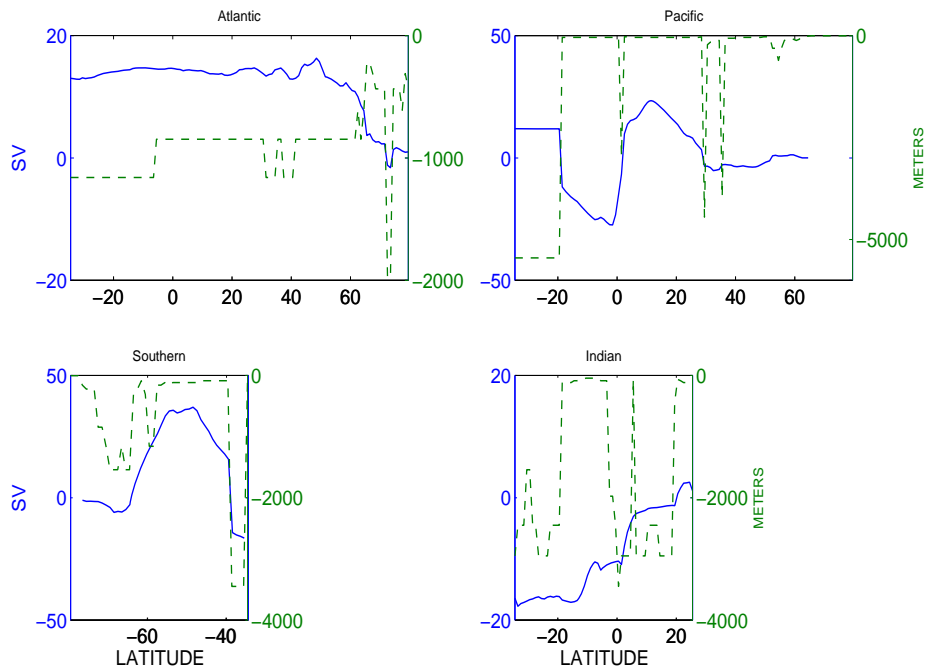


Figure 4: Maximum meridional volume transport values (Sv), irrespective of sign for the time-mean in each basin (solid curve, left axis) and the depth (dashed curve, right axis) to which one must integrate to achieve the maximum.

{max_trans.eps

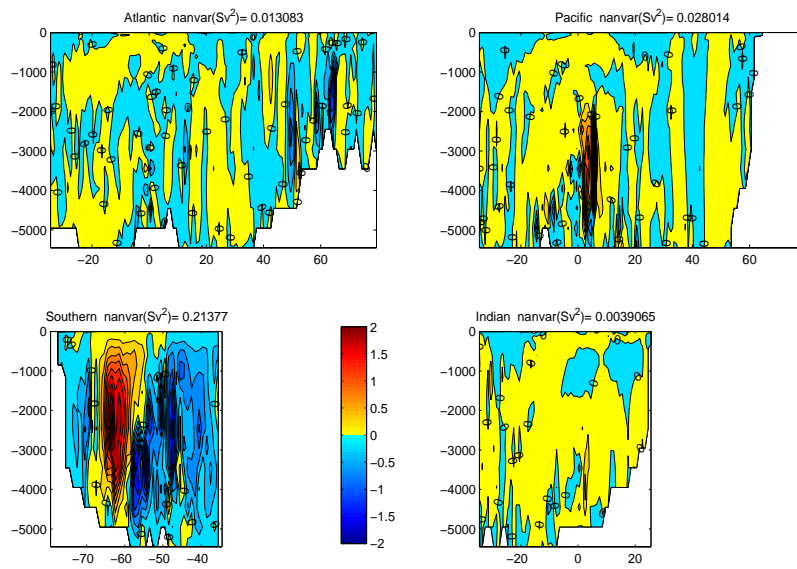


Figure 5: $\bar{W}(\phi, z, \cdot)$, the zonally summed time-mean vertical velocity, w , in 0.01 Sverdrups at intervals of 2.5×10^{-3} Sv. Patterns are complex and difficult to summarize. In the North Atlantic, the strong downwelling near 65°N is close to but not the same as the region of convection (see Scott and Marotzke, 2002). A conspicuous Deacon Cell appears in the Southern Ocean, but the reader is reminded of the caveat not to interpret two dimensional time-average projections of Eulerian mean velocities as corresponding to particle velocities.

{global_w.eps}

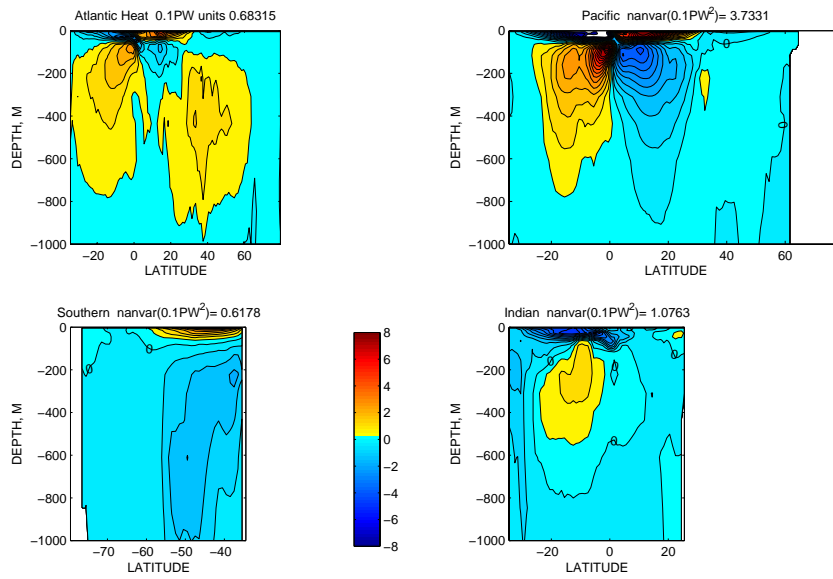


Figure 6: Upper 1000m of the time average temperature transport.

{global_heat_t

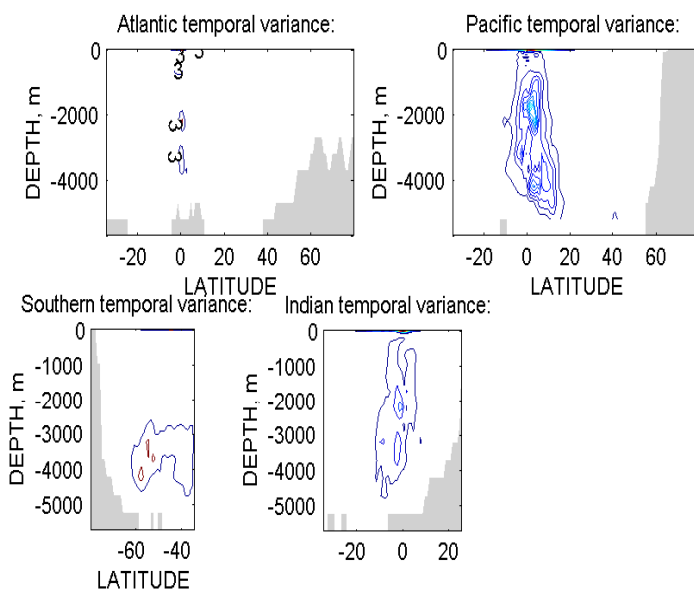


Figure 7: Temporal variance (from monthly means) of $V(\phi, z, t)$ in the v3.22 solution. Contour interval is $3Sv^2$. As the simple theory in Fig. 1 implies, the system is dominated by fluctuations at low latitudes over decadal time scales, with little relative variability expected or seen at high latitudes. Southern

Ocean excess variance at depth is likely associated with the special dynamics of the topographic interactions there at those depths driven by a forceful barotropic field. Total variance is $(0.83Sv)^2$ with the great mass of the Pacific Ocean dominating.

{temporalvaria

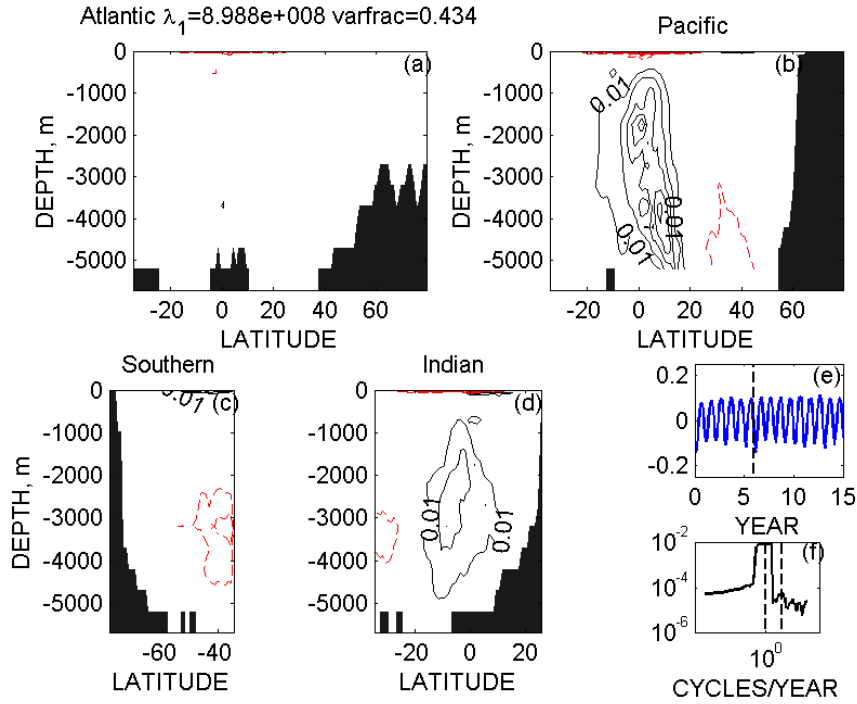


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{global_sv1.ep

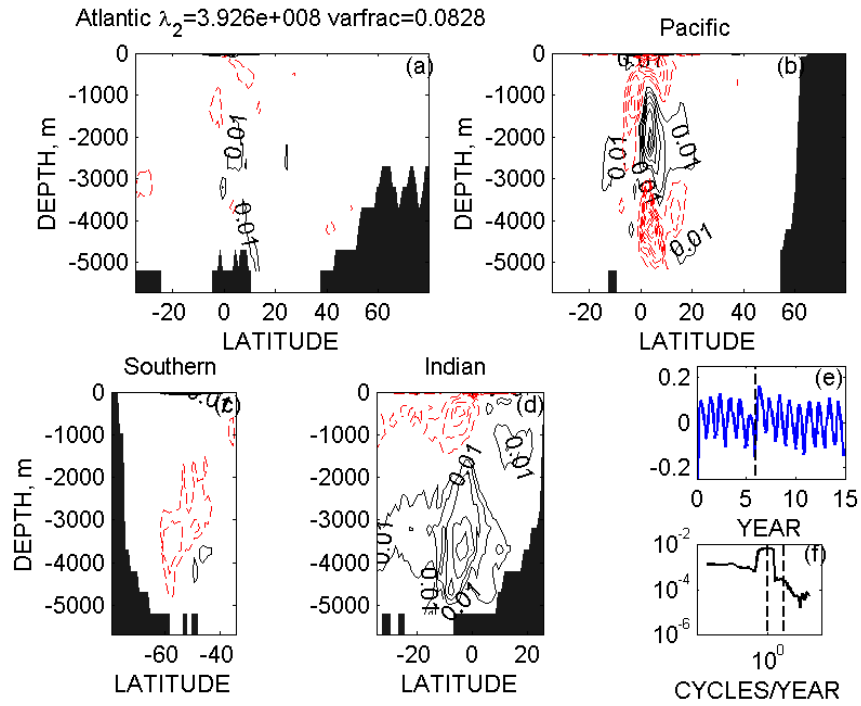


Figure 9: Second EOF with about 8% of the temporal variance, is also dominantly annual in character but with a visible ENSO disturbance in the $v_2(t)$ plot. Both the amplitude and phase recover quickly.

{global_sv2.ep

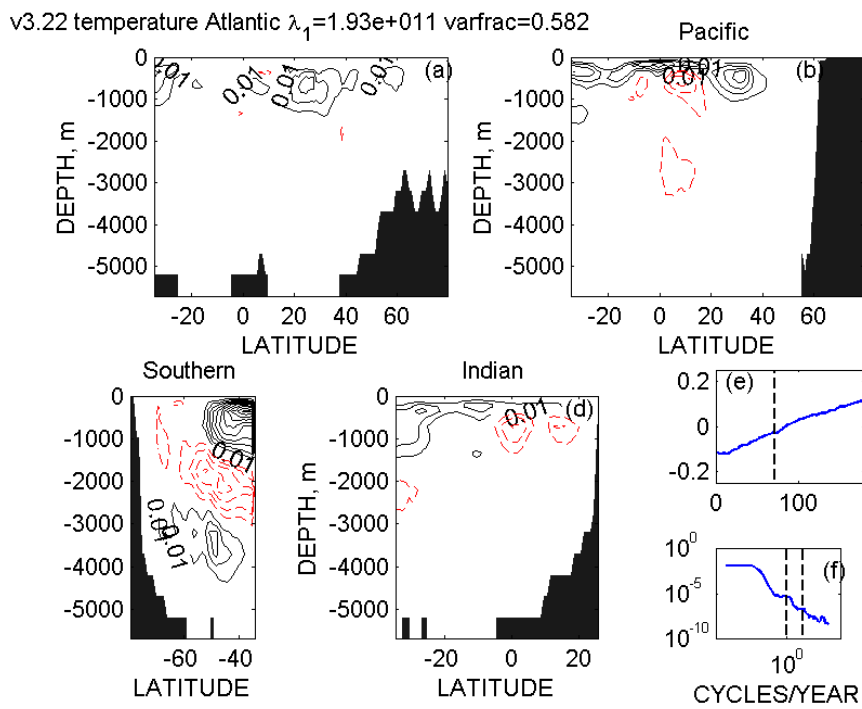


Figure 10: First heat content (temperature) EOF with 58% of the temporal variance. A general warming above 1000m is seen except in the poleward latitudes of the Southern Ocean, and in most deeper parts of all basins.

{temper_sv1.ep

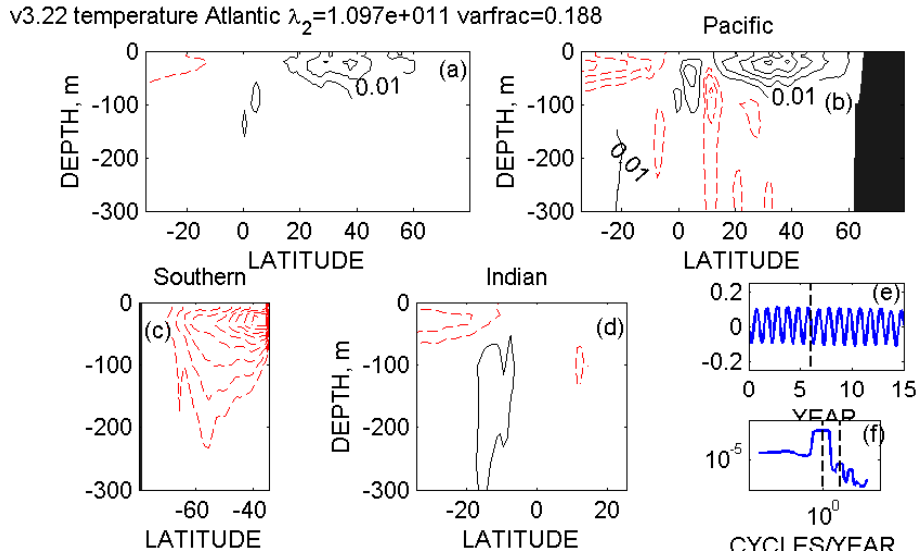


Figure 11: Second temperature EOF with about 19% of the variance and which is a surface annual cycle showing a 180° phase change between the hemispheres. Note that only the top 300m are displayed as the amplitudes are very small below that—consistent with the general expectation of the penetration of the annual thermal signal.

{temper_sv2.ep

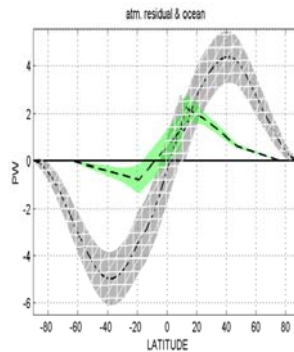


Figure 12: Estimate, with one standard deviation error bars, of the ocean (dashed) and atmospheric (dash-dot) meridional enthalpy fluxes (adapted from Wunsch, 2005, primarily from results of Ganachaud and Wunsch, 2002). The major inference is that poleward of about 50° in both hemispheres, the mean oceanic component is very small, and hence little variability in its values would be expected or is seen. Although the hydrographic sections used to make the estimates are also part of the ECCO-GODAE data sets, the model used by Ganachaud and Wunsch (2002) is a very different one from the GCM. Atmospheric values were computed as a residual of the ocean circulation transports subtracted from earth radiation budget values. That the changing MOC at high latitudes is a major cause of climate change, other than regionally, is very implausible given the minute contribution the ocean makes to the meridional heat transport there.

{atmoceanalone

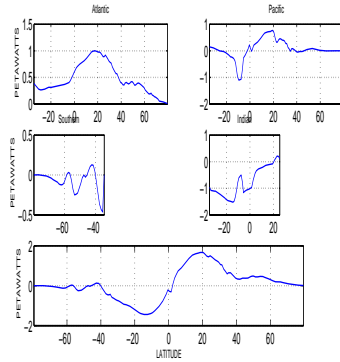


Figure 13: Total heat transport in each basin and the global total from ECCO-GODAE v3.22. The total (lowest panel) does not show as great an anti-symmetry as seen in the ocean estimate in Fig. 12, but the estimates are consistent within the error bars of that figure alone, without consideration of the uncertainty of the model itself.

{total_heat_tr

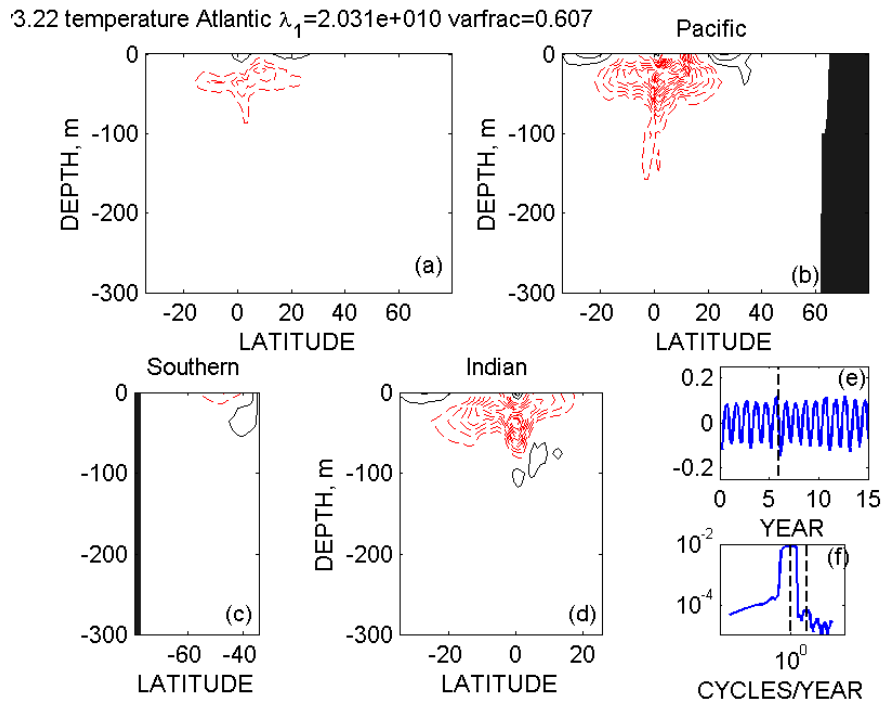


Figure 14: First EOF (singular vector \mathbf{u}_1) of the meridional enthalpy (heat) transport. Because of the strong surface confinement, only the top 300m are shown. The first EOF corresponds to about 60% of the heat transport variance and is an essentially annual mode confined to the tropics.

{global_heat_s

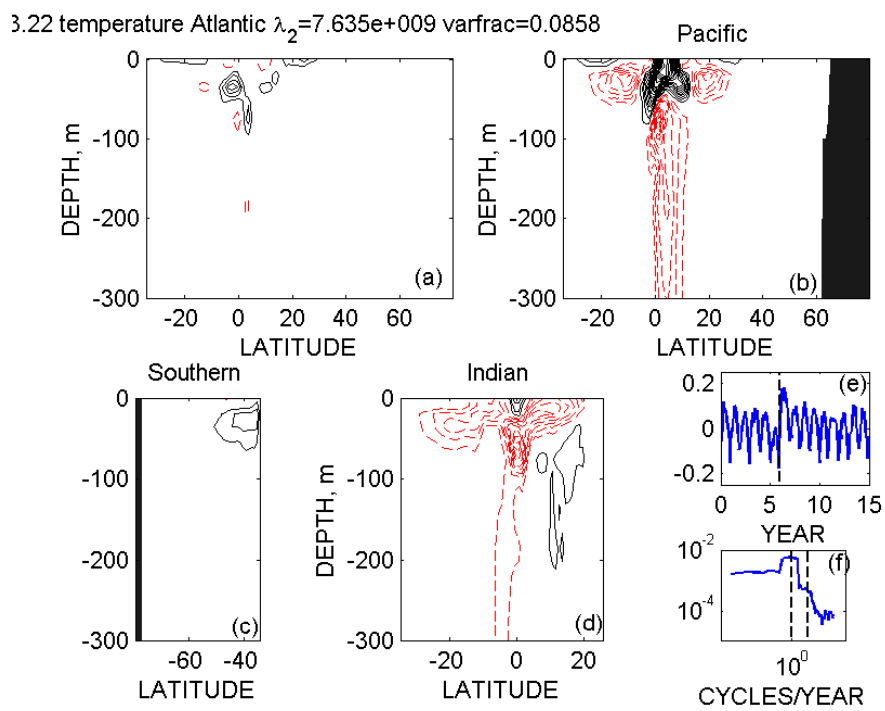


Figure 15: Second EOF of the meridional heat transport fluctuations, with about 9% of the variance. The major features remain the annual cycle and the tropical confinement, but with a visible ENSO signal now present.

{global_heat_s

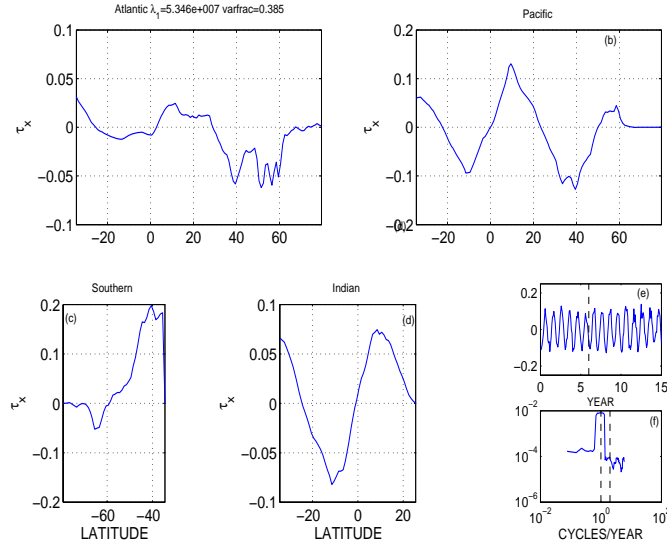


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{taux_sv1.eps}

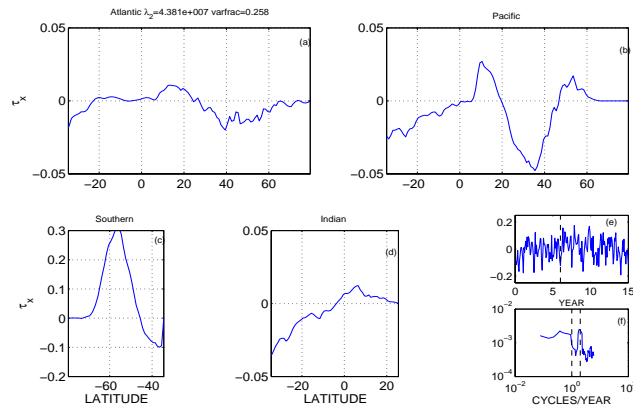


Figure 17: Second τ_x EOF with about 26% of the variance. This mode is broadband, with an excess of semi-annual variability and is dominated by Southern Ocean winds at the AAC latitudes. (Note change of scale in the Southern Ocean.)

{taux_sv2.eps}

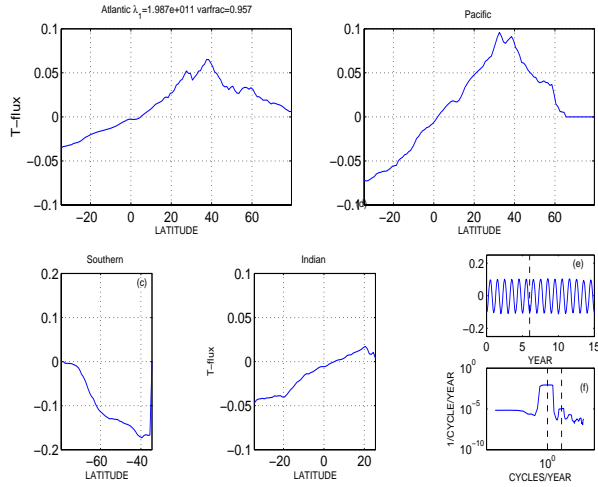


Figure 18: First EOF of the enthalpy (heat) flux from the atmosphere. This mode contains a remarkable 96% of the total variability variance and is nearly anti-symmetric about the equator.

{heat_sv1.eps}

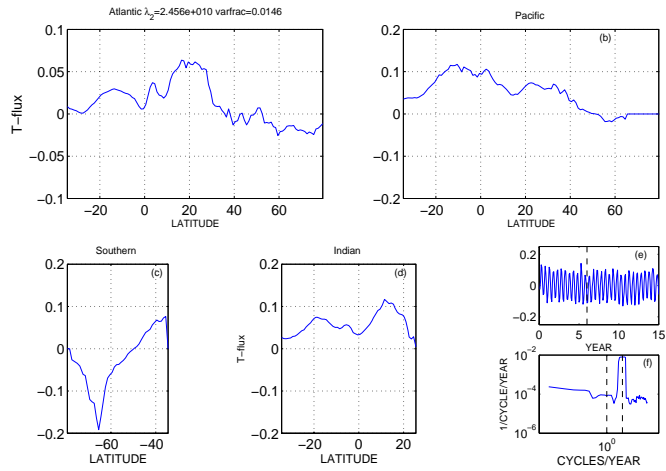


Figure 19: Second heat flux from the atmosphere EOF, but with less than about 1.5% of the variance.

{heat_sv2.eps}

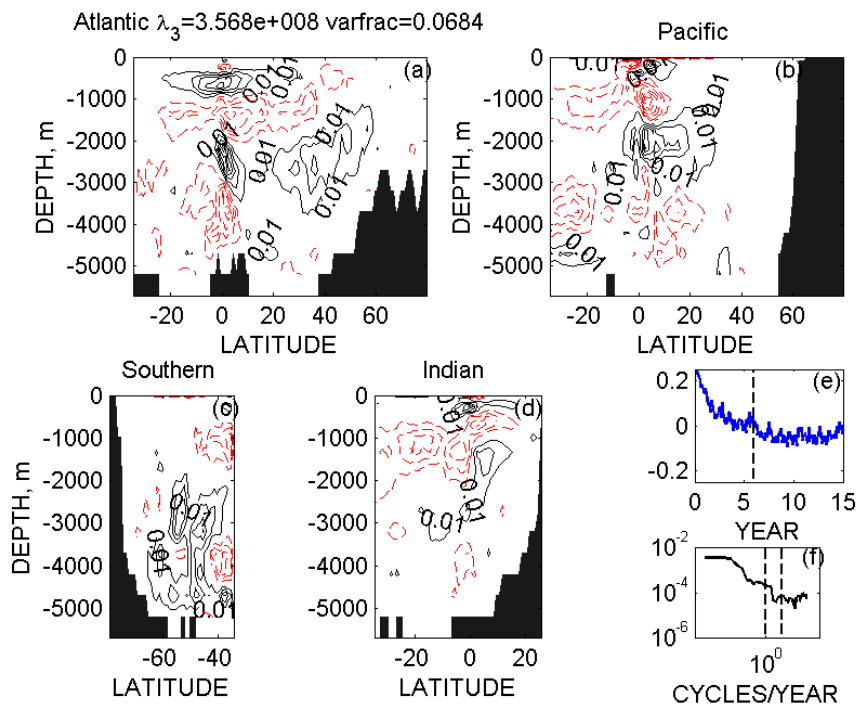


Figure 20: Third meridional volume transport EOF with about 7% of the variance is still tropically dominated, but exhibits an early trend disappearing later in the calculation.

{global_sv3.ep

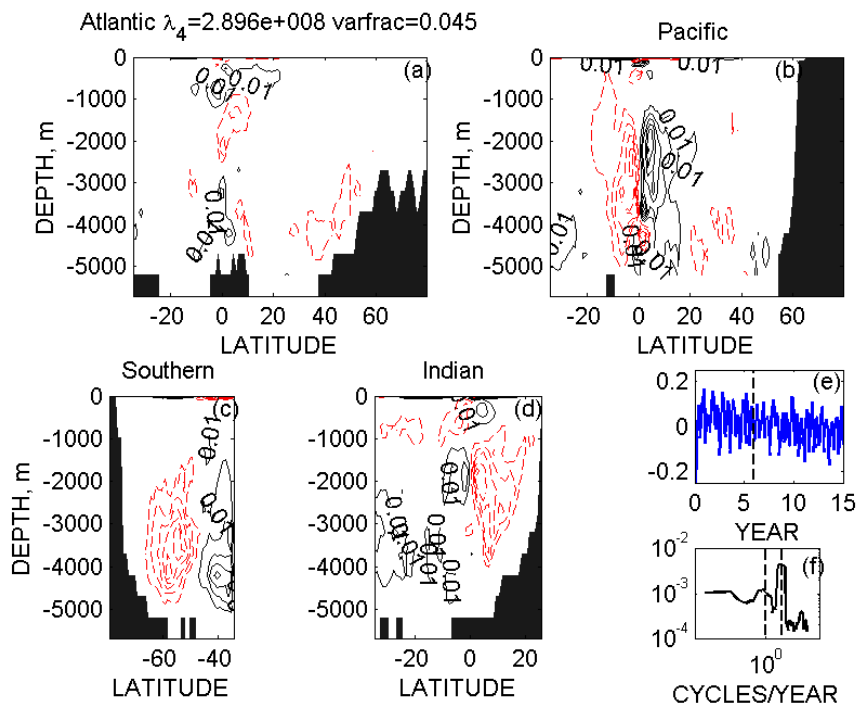


Figure 21: Fourth meridional transport EOF, with about 4.5% of the variance, now dominantly semi-annual in character and again primarily tropical but with a visible signature in the deep Southern Ocean.

{global_sv4.ep

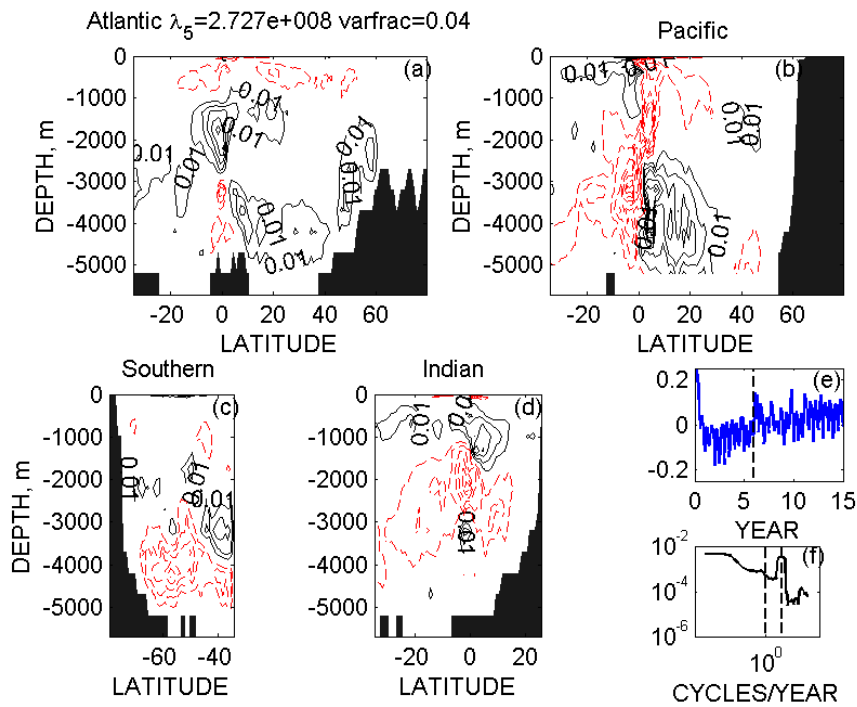


Figure 22: Fifth volume transport variability EOF with 4% of the variance. The common spatial structure of the trend and the 6-month peak variance might be coincidence.

{global_sv5.ep

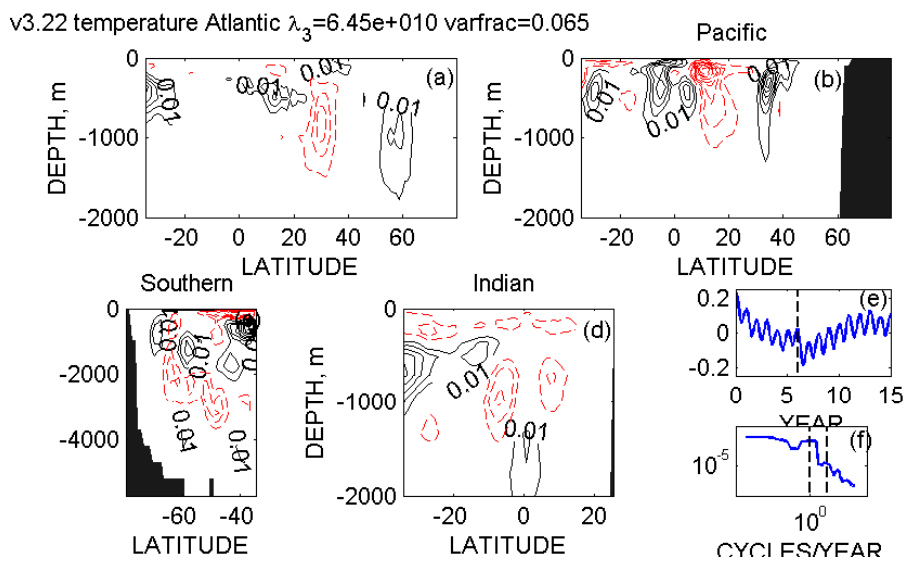


Figure 23: Third temperature variability EOF with about 6% of the variance. Note the differing depth ranges.

{temper_sv3.ep

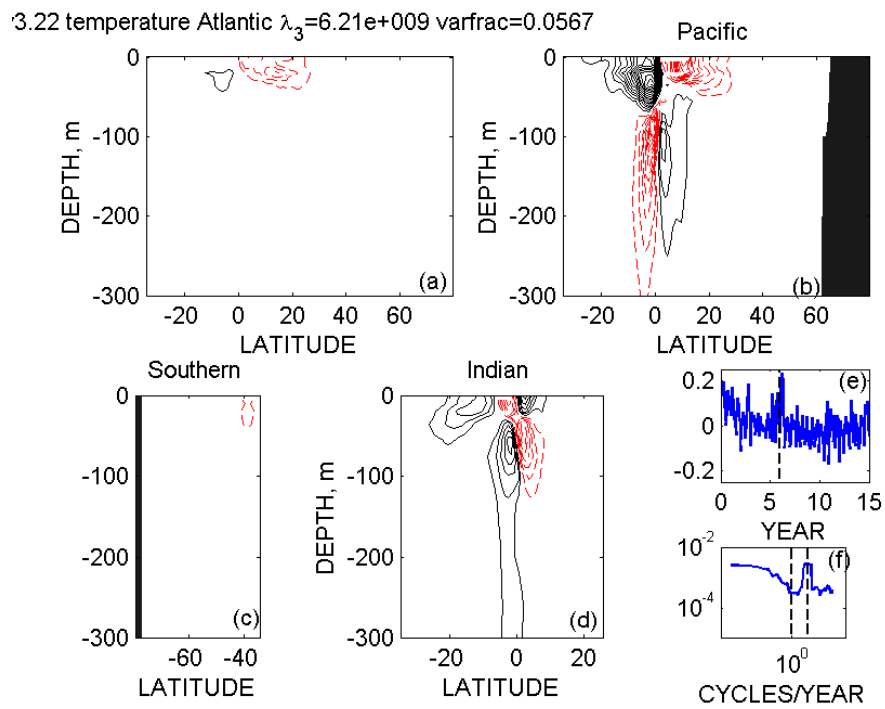


Figure 24: Third EOF of the enthalpy transport, with about 6% of the variance and a dominantly 6 month time-scale. An ENSO signal is again present.

{global_heat_s

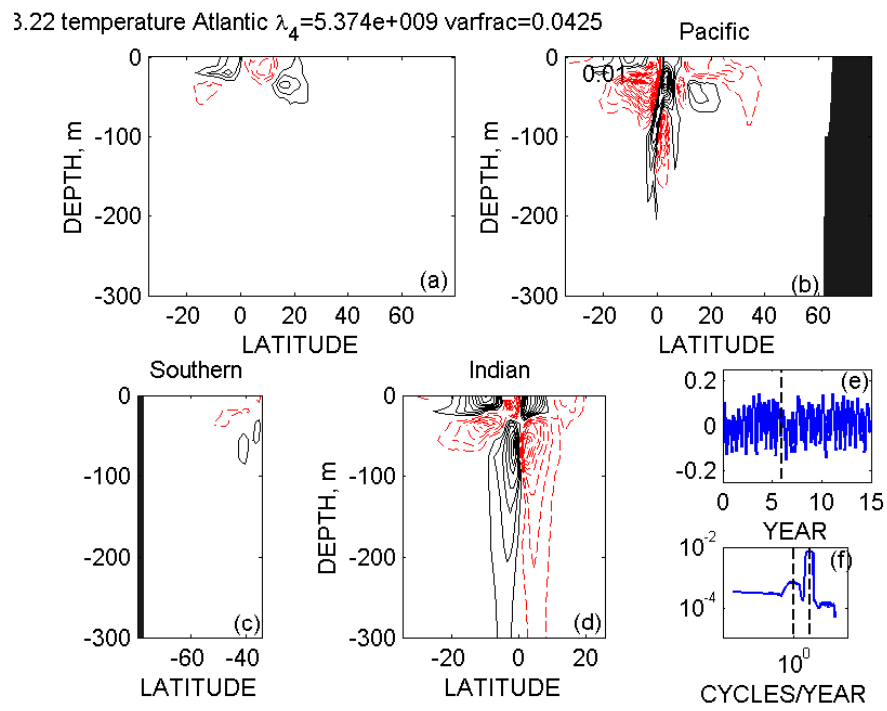


Figure 25: Fourth enthalpy transport EOF with about 4% of the variability variance and again a dominantly 6 month time scale.

{global_heat_s